

# ENERGY SOLUTION TO SCHRÖDINGER-POISSON SYSTEM IN THE TWO-DIMENSIONAL WHOLE SPACE

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**ABSTRACT.** We consider the Cauchy problem of the two-dimensional Schrödinger-Poisson system in the energy class. Though the Newtonian potential diverges at the spatial infinity in the logarithmic order, global well-posedness is proven in both defocusing and focusing cases. The key is a decomposition of the nonlinearity into a sum of the linear logarithmic potential and a good remainder, which enables us to apply the perturbation method. Our argument can be adapted to the one-dimensional problem.

## 1. INTRODUCTION

This paper is devoted to the study of the Schrödinger-Poisson system

$$(1.1) \quad \begin{cases} i\partial_t u + \frac{1}{2}\Delta u = \lambda Pu, & (t, x) \in \mathbb{R}^{1+2}, \\ -\Delta P = |u|^2, \\ u(0, x) = u_0(x), \end{cases}$$

where  $\lambda$  is a real constant. We suppose  $P$  is the Newtonian potential

$$(1.2) \quad P = -\frac{1}{2\pi}(\log |x| * |u|^2)$$

where  $*$  denotes the convolution. For a suitable  $u$ , this is the unique strong solution of  $-\Delta P = |u|^2$  under the condition

$$|\nabla P| \rightarrow 0 \text{ as } |x| \rightarrow \infty, \quad \nabla P \in L^\infty(\mathbb{R}^2), \quad P(0) = \int_{\mathbb{R}^2} (\log |y|) |u(y)|^2 dy$$

(see [11]). When the dimensions are larger than two, the Schrödinger-Poisson system is a special case of the Hartree equation and one of the typical example of the nonlinear Schrödinger equation with a nonlocal nonlinearity, and there is large amount of literature (see [6] and references therein). On the other hand, the two-dimensional case is less studied. In [1, 18], (1.1) is considered with some restrictive assumptions such as a neutrality condition which confirms that the Newtonian potential (1.2) does not diverge at the spatial infinity and in particular belongs to  $L^2$  space. The Poisson equation is sometimes posed with a background (or doping profile):

$$-\Delta P = |u|^2 - b,$$

where  $b$  is a given positive function. Then, the neutrality condition is  $\int |u|^2 - b dx = 0$  or equivalently  $\mathcal{F}(|u|^2 - b)(0) = 0$ . When we consider the problem in dimensions less than three, this condition is useful to control  $P$ . Notice that this condition excludes all nontrivial solutions when  $b \equiv 0$ , and that we need to remove this condition for the study of (1.1). In [11], the above

assumptions are removed and the existence of a unique *local* solution is proven for data in the usual Sobolev space  $H^s(\mathbb{R}^2)$  ( $s > 2$ ) despite the fact that the nonlinear potential diverges at the spatial infinity. Since (1.2) is not necessarily defined for  $u \in H^s$  ( $s > 2$ ) we introduced a new formula

$$P = -\frac{1}{2\pi} \int_{\mathbb{R}^2} \left( \log \frac{|x-y|}{|y|} \right) |u(y)|^2 dy$$

which makes sense merely if  $|u|^2 \in L^p(\mathbb{R}^2)$  ( $p \in (1, 2)$ ). We underline that the local solutions given there do not have finite energy (the energy is given in (1.5) below). Our aim in this paper is to prove that there exists a time-global solution if initial data has finite energy.

For our analysis, the following reduction is crucial: We guess that the Newtonian potential (1.2) may behave like  $-\frac{1}{2\pi} \|u\|_{L^2}^2 \log |x|$  at the spatial infinity, which will be the bad part of the nonlinearity, and decompose the nonlinearity as

$$\lambda P u = -\frac{\lambda}{2\pi} \|u\|_{L^2}^2 (\log \langle x \rangle) u - \frac{\lambda}{2\pi} u \int_{\mathbb{R}^2} \left( \log \frac{|x-y|}{\langle x \rangle} \right) |u(y)|^2 dy,$$

where  $\langle x \rangle = (1 + |x|^2)^{1/2}$ . We then obtain

$$i\partial_t u + \frac{1}{2}\Delta u + \frac{\lambda}{2\pi} \|u\|_{L^2}^2 (\log \langle x \rangle) u = -\frac{\lambda}{2\pi} u \int_{\mathbb{R}^2} \left( \log \frac{|x-y|}{\langle x \rangle} \right) |u(y)|^2 dy.$$

It will turn out that the bad part of  $P$  is correctly extracted from the original nonlinearity and therefore the behavior of the “new nonlinearity” becomes better. Notice that one can also expect that  $\|u\|_{L^2}$  is conserved because  $\lambda$  is a real number. Hence, putting

$$m := -\frac{\lambda}{2\pi} \|u_0\|_{L^2}^2,$$

we reach to the equation

$$(1.3) \quad \begin{cases} i\partial_t u + \left( \frac{1}{2}\Delta - m \log \langle x \rangle \right) u = -\frac{\lambda}{2\pi} u \int_{\mathbb{R}^2} \left( \log \frac{|x-y|}{\langle x \rangle} \right) |u(y)|^2 dy, \\ u(0, x) = u_0(x). \end{cases}$$

Notice that  $-m \log \langle x \rangle$  is now completely independent of  $u$  and that it therefore can be regarded as a linear potential. In what follows, we work with this equation. Observe that if there exists a solution to (1.3) conserving  $\|u\|_{L^2}$ , then it is also a solution of (1.1).

Now, the linear part of the equation is not  $i\partial_t + (1/2)\Delta$  but  $i\partial_t + (1/2)\Delta - m \log \langle x \rangle$ . Thus, a natural choice of the function space on which we shall work is not the Sobolev space  $H^1(\mathbb{R}^2)$  any more, but the following one:

$$(1.4) \quad \begin{aligned} \mathcal{H} &:= \{u \in H^1(\mathbb{R}^2); \sqrt{\log \langle x \rangle} u \in L^2\}, \\ \|u\|_{\mathcal{H}} &:= \|u\|_{H^1(\mathbb{R}^2)} + \left\| \sqrt{\log \langle \cdot \rangle} u \right\|_{L^2(\mathbb{R}^2)}. \end{aligned}$$

If  $m > 0$ , that is, if  $\lambda < 0$ , then the above space coincides with the form domain of the positive operator  $-\frac{1}{2}\Delta + m \log \langle x \rangle$ . Our main result is the following:

**Theorem 1.1.** *The problem (1.3) is globally well-posed in  $\mathcal{H}$ . Moreover, the solution conserves  $\|u(t)\|_{L^2}$  and the energy*

$$(1.5) \quad E(t) = \frac{1}{2} \|\nabla u(t)\|_{L^2}^2 - \frac{\lambda}{4\pi} \int_{\mathbb{R}^2} (\log |x - y|) |u(t, x)|^2 |u(t, y)|^2 dx dy.$$

**Corollary 1.2.** *The Problem (1.1) is globally well-posed in  $\mathcal{H}$ .*

*Remark 1.3.* Let  $u \in C(\mathbb{R}; \mathcal{H})$  be a solution of (1.3) (and of (1.1)) given in Theorem 1.1. Then,  $v := u \exp(-i \frac{\lambda}{2\pi} \int_0^t \|\sqrt{\log |\cdot|} u(s)\|_{L^2}^2 ds)$  solves

$$(1.6) \quad \begin{cases} i\partial_t v + \frac{1}{2} \Delta v = -\frac{\lambda}{2\pi} v \int_{\mathbb{R}^2} \left( \log \frac{|x - y|}{|y|} \right) |v(y)|^2 dy, \\ v(0, x) = u_0(x). \end{cases}$$

Notice that the nonlinearity of (1.6) makes sense without the momentum condition  $\sqrt{\log |\cdot|} v \in L^2$ . This observation explains why existence of a time-local solution can be proven by assuming only  $u_0 \in H^s(\mathbb{R}^2)$  ( $s > 1$ ) in [11].

**1.1. Consequent results.** Our argument is also applicable to (1.1) involving a power type nonlinearity:

$$(1.7) \quad \begin{cases} i\partial_t u + \frac{1}{2} \Delta u = \lambda P u + \eta |u|^{p-1} u, & (t, x) \in \mathbb{R}^{1+2}, \\ -\Delta P = |u|^2, \\ u(0, x) = u_0(x), \end{cases}$$

where  $\eta$  is a real number and  $p \geq 2$ .

**Theorem 1.4.** *The problem (1.7) is globally well-posed in  $\mathcal{H}$  if either one of the following conditions is satisfied:*

- (1)  $\eta \geq 0$ ,  $\lambda \in \mathbb{R}$  and  $p \geq 2$ ;
- (2)  $\eta < 0$ ,  $\lambda \in \mathbb{R}$ , and  $2 \leq p < 3$ ;
- (3)  $\eta < 0$ ,  $\lambda > 0$ ,  $p = 3$ , and  $\|u_0\|_{\mathcal{H}}$  is small;
- (4)  $\eta < 0$ ,  $\lambda < 0$ ,  $p \geq 3$ , and  $\|u_0\|_{\mathcal{H}}$  is small.

Moreover, the solution conserves  $\|u(t)\|_{L^2}$  and the energy

$$(1.8) \quad \begin{aligned} E_p(t) := & \frac{1}{2} \|\nabla u(t)\|_{L^2}^2 - \frac{\lambda}{4\pi} \int_{\mathbb{R}^2} (\log |x - y|) |u(t, x)|^2 |u(t, y)|^2 dx dy \\ & + \frac{\eta}{p+1} \|u(t)\|_{L^{p+1}}^{p+1}. \end{aligned}$$

The proof is done with a straight-forward modification (see Section 4). The case where  $p = 3$  is known as the  $L^2$ -critical case. Since the  $\mathcal{H}$ -norm contains derivative, it seems difficult to treat the case  $1 < p < 2$ . Nevertheless, we can show global well-posed in a slightly smaller function space  $\mathcal{H}^{1,2} := \{u \in H^1(\mathbb{R}^2); u \log \langle x \rangle \in L^2\}$ .

**Theorem 1.5.** *Suppose  $1 < p < 2$ . For  $\eta, \lambda \in \mathbb{R}$  The problem (1.7) is globally well-posed in the space  $\mathcal{H}^{1,2}$ . Moreover, the solution conserves  $\|u(t)\|_{L^2}$  and the energy  $E_p(t)$  given in (1.8).*

We can also handle the one-dimensional problem

$$(1.9) \quad \begin{cases} i\partial_t u + \frac{1}{2}\partial_{xx}u = -\frac{\lambda}{2}(|x| * |u|^2)u + \eta|u|^{p-1}u, & (t, x) \in \mathbb{R}^{1+1}, \\ u(0, x) = u_0(x), \end{cases}$$

where  $\lambda, \eta \in \mathbb{R}$  and  $p \geq 2$ . The one dimensional problem was studied in [7, 14, 15]. The global well-posedness of (1.9) was shown in the space  $\{f \in H^1(\mathbb{R}); |x|f \in L^2(\mathbb{R})\}$  in [15], and in the space  $\{f \in H^1(\mathbb{R}); \sqrt{|x|}f \in L^2(\mathbb{R})\}$  with a presence of background in [7], provided  $\lambda > 0$  and data is small relative to the background. We can prove the global well-posedness result of (1.9) including these results.

**Theorem 1.6.** *The problem (1.9) is globally well-posed in  $\{f \in H^1(\mathbb{R}); \sqrt{|x|}f \in L^2(\mathbb{R})\}$  if  $\lambda \in \mathbb{R}$  and either one of the following conditions is satisfied:*

- (1)  $\eta \geq 0$ ,  $\lambda \in \mathbb{R}$ , and  $p \geq 2$ ;
- (2)  $\eta < 0$ ,  $\lambda \in \mathbb{R}$ , and  $2 \leq p < 5$ ;
- (3)  $\eta < 0$ ,  $\lambda > 0$ ,  $p = 5$ , and  $\|u_0\|_{H^1} + \|\sqrt{|\cdot|}u_0\|_{L^2}$  is small;
- (4)  $\eta < 0$ ,  $\lambda < 0$ ,  $p \geq 5$ , and  $\|u_0\|_{H^1} + \|\sqrt{|\cdot|}u_0\|_{L^2}$  is small.

The solution conserves  $\|u\|_{L^2}$  and the energy

$$(1.10) \quad \tilde{E}(t) := \frac{1}{2} \|\partial_x u\|_{L^2(\mathbb{R})}^2 - \frac{\lambda}{2} \iint_{\mathbb{R}^2} |x-y| |u(x)|^2 |u(y)|^2 dx dy + \frac{\eta}{p+1} \|u\|_{L^{p+1}(\mathbb{R})}^{p+1}.$$

The one-dimensional version of Theorem 1.5 is as follows, which reproduce the same result in [15, Theorem 2.1] when  $\eta < 0$  and  $\lambda > 0$ .

**Theorem 1.7.** *Suppose  $1 < p < 2$ . For  $\eta, \lambda \in \mathbb{R}$  The problem (1.9) is globally well-posed in the space  $\Sigma := \{u \in H^1(\mathbb{R}^2); |x|u \in L^2\}$ . Moreover, the solution conserves  $\|u(t)\|_{L^2}$  and the energy  $\tilde{E}(t)$  given in (1.10).*

As in the two dimensional case, the key is a “reduction” of (1.9) to

$$\begin{cases} i\partial_t u + \frac{1}{2}\partial_{xx}u + \frac{\lambda \|u_0\|_{L^2}^2}{2} |x|u = -\frac{\lambda}{2}u \int_{\mathbb{R}} (|x-y| - |x|) |u(y)|^2 dy + \eta|u|^{p-1}u, \\ u(0, x) = u_0(x). \end{cases}$$

We briefly mention about other related works. Oh considered in [12] the Cauchy problem of the nonlinear Schrödinger equation with general potential and  $L^2$ -subcritical power-type nonlinearity, and proved global well-posedness in the form domain of  $-\frac{1}{2}\Delta + V$ , provided the potential  $V \geq 0$  satisfies  $\partial^\alpha V \in L^\infty$  for  $|\alpha| \geq 2$  (see also [6]). In particular, the case where the potential  $V$  is a quadratic polynomial is extensively studied. In this case, we have several special properties such as explicit representations of linear solutions, called Mehler’s formula, and/or of the Heisenberg observables. We refer the reader to [2, 3, 4, 10, 19] for  $H^1$ -subcritical and  $H^1$ -critical power-type nonlinearity and to [5] for  $H^1$ -subcritical Hartree type nonlinearity. In [16], the ground states of (1.1) is treated.

The rest of the paper is organized as follows: We collect some basic estimates in Section 2, and, in Section 3 we prove Theorem 1.1. Section 4 is devoted to the study of (1.7).

## 2. PRELIMINARIES

**2.1. Strichartz estimate.** We first summarize the properties on the operator

$$(2.1) \quad A := \frac{1}{2}\Delta - m \log \langle x \rangle,$$

where  $m \neq 0$  is a real constant. For any  $m$ ,  $A$  is essentially self-adjoint on  $C_0^\infty(\mathbb{R}^2)$  (see [13]). Since our potential is sub-quadratic, that is, since  $|\partial^\alpha \log \langle x \rangle| \rightarrow 0$  as  $|x| \rightarrow \infty$  for  $|\alpha| = 2$  and  $\partial^\alpha \log \langle x \rangle \in L^\infty$  for  $|\alpha| \geq 3$ , the following estimate is established in [17]: For any  $T > 0$ ,

$$\|e^{itA}\varphi\|_{L^\infty} \leq C|t|^{-1} \|\varphi\|_{L^1}$$

for  $t \in [-T, T]$ , where  $C$  depends on  $T$  (see also [8]). Once we know this type of estimate, the Strichartz estimate follows by interpolation. We say that a pair  $(q, r)$  is admissible if  $2 \leq r < \infty$  and  $2/q = \delta(r) := 1 - 2/r$ .

**Lemma 2.1** (Strichartz's estimate). *For any  $T > 0$ , the following properties hold:*

- Suppose  $\varphi \in L^2(\mathbb{R}^2)$ . For any admissible pair  $(q, r)$ , there exists a constant  $C = C(T, q, r)$  such that

$$\|e^{itA}\varphi\|_{L^q((-T, T); L^r)} \leq C \|\varphi\|_{L^2}.$$

- Let  $I \subset (-T, T)$  be an interval and  $t_0 \in \bar{I}$ . For any admissible pairs  $(q, r)$  and  $(\gamma, \rho)$ , there exists a constant  $C = C(t, q, r, \gamma, \rho)$  such that

$$\left\| \int_{t_0}^t e^{i(t-s)A} F(s) ds \right\|_{L^q(I; L^r)} \leq C \|F\|_{L^{\gamma'}(I; L^{\rho'})}$$

for every  $F \in L^{\gamma'}(I; L^{\rho'})$ .

## 2.2. Some estiamtes.

**Lemma 2.2.** *Let  $W$  be an arbitrary weight function such that  $\nabla W, \Delta W \in L^\infty(\mathbb{R}^2)$ . It holds for all  $T > 0$ , admissible pair  $(q, r)$ , and  $\varphi \in \mathcal{H}$  that*

$$\begin{aligned} \|\nabla, e^{itA}\varphi\|_{L^q((-T, T); L^r)} &\leq C|T| \|\varphi\|_2, \\ \|W, e^{itA}\varphi\|_{L^q((-T, T); L^r)} &\leq C|T| \|(1 + \nabla)\varphi\|_2. \end{aligned}$$

*Proof.* Since  $v = e^{itA}\varphi$  solves  $i\partial_t v + Av = 0$ , an explicit calculation shows

$$[\nabla, e^{itA}]\varphi = -i \int_0^t e^{i(t-s)A} \frac{mx}{1+x^2} e^{isA} \varphi ds$$

and

$$[W, e^{itA}]\varphi = i \int_0^t e^{i(t-s)A} \left( \nabla W \cdot \nabla + \frac{1}{2} \Delta W \right) e^{isA} \varphi ds.$$

The Strichartz estimate therefore gives the desired estimates.  $\square$

The following is useful for estimates of the nonlinearity in (1.3).

**Lemma 2.3.** *Set a function*

$$K(x, y) = \frac{\log \frac{|x-y|}{\langle x \rangle}}{1 + \log \langle y \rangle}$$

of  $x, y \in \mathbb{R}^2$ . For any  $p \in [1, \infty)$  and  $\varepsilon > 0$ , there exist a function  $W(x, y) \geq 0$  with  $\|W\|_{L_y^\infty L_x^p} \leq \varepsilon$  and a constant  $C_0$  such that

$$|K(x, y)| \leq C_0 + W(x, y)$$

holds for all  $(x, y) \in \mathbb{R}^{2+2}$ .

*Proof.* Take  $\eta \in (0, 1]$  and set  $W(x, y) = |K(x, y)| \mathbf{1}_{|x-y| \leq \eta}(x, y)$ . If  $\eta$  is sufficiently small then

$$\|W(\cdot, y)\|_{L^p} \leq \frac{\|\log |x|\|_{L^p(|x| \leq \eta)} + \log \langle |y| + \eta \rangle \|1\|_{L^p(|x| \leq \eta)}}{1 + \log \langle y \rangle} \leq \varepsilon$$

since  $\log |x|$  belongs to  $L_{\text{loc}}^p(\mathbb{R}^2)$  for all  $p < \infty$ . Moreover, by (2.12) of [11],

$$\sup_{|x-y| \geq \eta} K(x, y) \leq 1 + \log \frac{\sqrt{3}}{\eta}$$

for any  $\eta \leq 1$ , which completes the proof.  $\square$

*Remark 2.4.* In 1D case, the corresponding estimate is

$$\left\| \frac{|x-y| - |x|}{1 + |y|} \right\|_{L_{x,y}^\infty(\mathbb{R}^2)} \leq 1.$$

### 3. PROOF OF THE THEOREM

#### 3.1. Local well-posedness.

**Lemma 3.1.** *Let  $(q_0, r_0)$  be an admissible pair with  $r_0 > 2$ . For any  $u_0 \in \mathcal{H}$ , there exist an existence time  $T = T(\|u_0\|_{\mathcal{H}})$  and a unique solution  $u \in C((-T, T); \mathcal{H}) \cap L^{q_0}((-T, T); L^{r_0}) \cap C^1((-T, T); \mathcal{H}^*)$ . The solution conserves  $\|u(t)\|_{L^2}$  and the energy (1.5). Moreover, the map  $u_0 \mapsto u$  is continuous from  $\mathcal{H}$  to  $C((-T, T); \mathcal{H})$ .*

*Proof.* We write  $L^p((-T, T); X) = L_T^p X$ , for short. Define a Banach space

$$\mathcal{H}_{T,M} := \{f \in L^\infty((-T, T); \mathcal{H}); \|f\|_{\mathcal{H}_T} \leq M\}$$

with norm

$$\|f\|_{\mathcal{H}_T} := \|f\|_{L_T^\infty \mathcal{H}} + \|f\|_{L_T^{q_0} W^{1,r_0}} + \left\| \sqrt{\log \langle x \rangle} f \right\|_{L_T^{q_0} L^{r_0}}.$$

We show that if  $r_0 > 2$  then there exist  $M = M(\|u_0\|_{\mathcal{H}})$  and  $T = T(\|u_0\|_{\mathcal{H}})$  such that

$$\begin{aligned} Q[u](t, x) &:= (e^{itA} u_0)(x) \\ &+ \frac{i}{2\pi} \left( \int_0^t e^{i(t-s)A} \left( \int_{\mathbb{R}^2} \log \frac{|\cdot - y|}{\langle \cdot \rangle} |u(s, y)|^2 dy \right) u(s, \cdot) ds \right) (x) \end{aligned}$$

becomes a contraction map from  $\mathcal{H}_{T,M}$  to itself, where  $A$  is defined in (2.1).

Set

$$K(x, y) = \frac{\log \frac{|x-y|}{\langle x \rangle}}{1 + \log \langle y \rangle}.$$

Then, by Lemma 2.3, there exist a nonnegative function  $W \in L_y^\infty L_x^{r'_0}$  and a constant  $C_0$  such that

$$|K(x, y)| \leq C_0 + W(x, y).$$

Recall that  $r_0 \in (2, \infty)$  and so  $r'_0 := r_0/(r_0 - 1) \in (1, 2)$ . We hence see that

$$Pu = \iint K(x, y)(1 + \log \langle y \rangle) |u(y)|^2 u(x) dy dx$$

satisfies

$$\|Pu\|_{L^2} \leq C(\|u\|_{L^2} + \|u\|_{L^{r_0}}) \left\| \sqrt{1 + \log \langle x \rangle} u \right\|_{L^2}^2.$$

Take  $L_T^1$  norm to yield

(3.1)

$$\|Pu\|_{L_T^1 L^2} \leq C(T \|u\|_{L_T^\infty L^2} + T^{\frac{1}{2} + \frac{1}{r_0}} \|u\|_{L_T^{q_0} L^{r_0}}) \left\| \sqrt{1 + \log \langle x \rangle} u \right\|_{L_T^\infty L^2}^2.$$

By the Strichartz estimate, we end up with

$$(3.2) \quad \|Q[u]\|_{L_T^\infty L^2} + \|Q[u]\|_{L_T^{q_0} L^{r_0}} \leq C \|u_0\|_{L^2} + C(T + T^{\frac{1}{2} + \frac{1}{r_0}}) \|u\|_{\mathcal{H}_T}^3.$$

We next estimate  $\nabla Q[u]$ . One easily sees that

$$\begin{aligned} \nabla Q[u] &= e^{itA} \nabla u_0 - i \int_0^t e^{i(t-s)A} \nabla (Pu)(s) ds \\ &\quad + [\nabla, e^{itA}] u_0 - i \int_0^t [\nabla, e^{i(t-s)A}] (Pu)(s) ds. \end{aligned}$$

We deduce from Lemma 2.2 with  $(q, r) = (\infty, 2)$  that

$$\int_0^t \left\| [\nabla, e^{i(t-s)A}] (Pu)(s) \right\|_{L^2} ds \leq \int_0^t (t-s) \|Pu(s)\|_{L^2} ds \leq |t| \|Pu\|_{L_T^1 L^2}.$$

The right hand side is bounded as in (3.1).  $[\nabla, e^{itA}] u_0$  is handled similarly. Mimicking (3.1), we infer that

(3.3)

$$\|P \nabla u\|_{L_T^1 L^2} \leq C(T \|\nabla u\|_{L_T^\infty L^2} + T^{\frac{1}{2} + \frac{1}{r_0}} \|\nabla u\|_{L_T^{q_0} L^{r_0}}) \left\| \sqrt{1 + \log \langle x \rangle} u \right\|_{L_T^\infty L^2}^2$$

Now, let us estimate  $(\nabla P)u$ . It writes

$$(\nabla P)(x)u(x) = \left( \int_{\mathbb{R}^2} \left( \frac{x-y}{|x-y|^2} - \frac{x}{1+x^2} \right) |u(y)|^2 dy \right) u(x),$$

and so

$$\begin{aligned} \|(\nabla P)u\|_{L^2} &\leq C \left\| (|x|^{-1} * |u|^2) + \langle \cdot \rangle^{-1} \|u\|_{L^2}^2 \right\|_{L^{\frac{2r_0}{r_0-2}}} \|u\|_{L^{r_0}} \\ &\leq C(\|u\|_{L^{\frac{2r_0}{r_0-1}}}^2 + \|u\|_{L^2}^2) \|u\|_{L^{r_0}} \\ &\leq C(\|u\|_{L^2}^2 + \|\nabla u\|_{L^2}^2) \|u\|_{L^{r_0}} \end{aligned}$$

by the Hardy-Littlewood-Sobolev and the Sobolev inequalities. We see that

$$(3.4) \quad \|(\nabla P)u\|_{L_T^1 L^2} \leq C(T \|u\|_{L_T^\infty L^2}^2 + T^{\frac{1}{2} + \frac{1}{r_0}} \|u\|_{L_T^{q_0} L^{r_0}}^2) \|u\|_{L_T^\infty L^2}.$$

We deduce from the Strichartz estimate that

$$(3.5) \quad \|\nabla Q[u]\|_{L_T^\infty L^2} + \|\nabla Q[u]\|_{L_T^{q_0} L^{r_0}} \leq C \|\nabla u_0\|_{\mathcal{H}} + C(T + T^{\frac{1}{2} + \frac{1}{r_0}}) \|u\|_{\mathcal{H}_T}^3.$$

Let us proceed to the estimate of  $\sqrt{\log \langle x \rangle} Q[u]$ . It holds that

$$\begin{aligned} \sqrt{1 + \log \langle x \rangle} Q[u] &= e^{itA} \sqrt{1 + \log \langle x \rangle} u_0 - i \int_0^t e^{i(t-s)A} \sqrt{1 + \log \langle x \rangle} Pu(s) ds \\ &\quad + R, \end{aligned}$$

where

$$R = [\sqrt{1 + \log \langle x \rangle}, e^{itA}] u_0 - i \int_0^t [\sqrt{1 + \log \langle x \rangle}, e^{i(t-s)A}] Pu(s) ds.$$

A use of Lemma 2.2 with  $W = \sqrt{1 + \log \langle x \rangle}$  yields

$$\begin{aligned} \|R\|_{L_T^\infty L^2} + \|R\|_{L_T^{q_0} L^{r_0}} &\leq CT \|u_0\|_{\mathcal{H}} + CT \|(1 + \nabla)(Pu)\|_{L_T^1 L^2} \\ &\leq CT \|u_0\|_{\mathcal{H}} + CT(T + T^{\frac{1}{2} + \frac{1}{r_0}}) \|u\|_{\mathcal{H}_T}^3 \end{aligned}$$

where we have used (3.1), (3.3), and (3.4). As in (3.1), it holds that

$$\begin{aligned} \|P(Wu)\|_{L_T^1 L^2} &\leq C(T \|Wu\|_{L_T^\infty L^2} + T^{\frac{1}{2} + \frac{1}{r_0}} \|Wu\|_{L_T^{q_0} L^{r_0}}) \|Wu\|_{L_T^\infty L^2}^2 \\ &\leq C(T + T^{\frac{1}{2} + \frac{1}{r_0}}) \|u\|_{\mathcal{H}_T}^3, \end{aligned}$$

where  $W = \sqrt{1 + \log \langle x \rangle}$ . We conclude from the Strichartz estimate, (3.2), and (3.5) that

$$\|Q[u]\|_{\mathcal{H}_T} \leq C_1 \|u_0\|_{\mathcal{H}} + C_2(T + T^{\frac{1}{2} + \frac{1}{r_0}}) \|u\|_{\mathcal{H}_T}^3.$$

A similar argument shows

$$\|Q[u_1] - Q[u_2]\|_{\mathcal{H}_T} \leq C_3(T + T^{\frac{1}{2} + \frac{1}{r_0}}) (\|u_1\|_{\mathcal{H}_T} + \|u_2\|_{\mathcal{H}_T})^2 \|u_1 - u_2\|_{\mathcal{H}_T}.$$

Thus, if we take  $M \geq 2C_1 \|u_0\|_{\mathcal{H}}$  then there exists  $T = T(M)$  such that  $Q$  is a contraction map from  $\mathcal{H}_{T,M}$  to itself.

The conservations of  $\|u(t)\|_{L^2}$  is shown by multiplying (1.3) by  $\bar{u}$  and integrating the imaginary part. To prove the energy conservation, we need a regularizing argument. Note that (1.3) can be solved also in the space  $\{f \in H^2(\mathbb{R}^2) : \log \langle x \rangle f \in L^2\}$ , which is one of dense subsets of  $\mathcal{H}$ , in an essentially same way. We omit details.  $\square$

**3.2. Global existence.** We first give a useful blow-up criteria.

**Lemma 3.2.** *Suppose  $u_0 \in \mathcal{H}$ . Let  $u \in C((-T_{\min}, T_{\max}); \mathcal{H})$  be a unique maximal solution given by Lemma 3.1. If  $T_{\max} < \infty$  (resp.  $T_{\min} < \infty$ ), then  $\|\nabla u(t)\|_{L^2} \rightarrow \infty$  as  $t \uparrow T_{\max}$  (resp.  $t \downarrow -T_{\min}$ ).*



*Proof.* We only consider positive time. Suppose  $T_{\max} < \infty$ . Then,  $\|u(t)\|_{\mathcal{H}}$  has to diverge as  $t \uparrow T_{\max}$ . Otherwise, we can extend the solution beyond  $T_{\max}$  by Lemma 3.1. Recall that  $\|u(t)\|_{L^2} = \|u_0\|_{L^2}$ . Since

$$\begin{aligned} \frac{d}{dt} \left\| \sqrt{\log \langle x \rangle} u(t) \right\|_{L^2}^2 &= 2 \operatorname{Re} \int (\log \langle x \rangle) \partial_t u(t) \overline{u(t)} dx \\ &= - \operatorname{Im} \int (\log \langle x \rangle) \Delta u(t) \overline{u(t)} dx \\ &= \operatorname{Im} \int \frac{x}{1+x^2} \cdot \nabla u(t) \overline{u(t)} dx, \end{aligned}$$

it holds that

$$\left\| \sqrt{\log \langle x \rangle} u(t_2) \right\|_{L^2}^2 \leq \left\| \sqrt{\log \langle x \rangle} u(t_1) \right\|_{L^2}^2 + |t_2 - t_1| \|\nabla u\|_{L^\infty((t_1, t_2); L^2)} \|u_0\|_{L^2}$$

for all  $-T_{\min} < t_1 < t_2 < T_{\max}$ . This implies that if we assume

$$\limsup_{t \uparrow T_{\max}} \|\nabla u(t)\|_{L^2} < \infty$$

then  $\|u(t)\|_{\mathcal{H}}$  never blows up. We hence obtain the lemma.  $\square$

*Remark 3.3.* As in [9], the solution breaks down with concentration at a point if  $\|\sqrt{\log \langle x \rangle} u(t)\|_{L^2} = 0$ . However, this does not occur when  $\|\nabla u(t)\|$  is bounded above. Indeed, since

$$\|u\|_{L^2(|x|<r)} \leq \|1\|_{L^4(|x|<r)} \|u\|_{L^4} \leq Cr^{\frac{1}{2}} \|\nabla u\|_{L^2}^{\frac{1}{2}}$$

for any  $r > 0$  and since

$$\|u\|_{L^2(|x|<r)} = \|u_0\|_{L^2} - \|u\|_{L^2(|x|\geq r)} \geq \|u_0\|_{L^2} - \frac{\|\sqrt{\log \langle x \rangle} u\|_{L^2}}{(\log \langle r \rangle)^{1/2}},$$

by letting  $r = \|\sqrt{\log \langle x \rangle} u\|_{L^2}$ , we obtain

$$\|\sqrt{\log \langle x \rangle} u\|_{L^2}^{-\frac{1}{2}} \leq C \left( \frac{\|\sqrt{\log \langle x \rangle} u\|_{L^2}}{\log \left\langle \|\sqrt{\log \langle x \rangle} u\|_{L^2} \right\rangle} \right)^{\frac{1}{2}} + C \|\nabla u\|_{L^2}^{\frac{1}{2}},$$

which implies  $\|\sqrt{\log \langle x \rangle} u\|_{L^2}$  is strictly positive if  $\|\nabla u\|_{L^2} < \infty$ .

*Proof of Theorem 1.1.* Let us establish a priori estimate of  $\|\nabla u(t)\|_{L^2}$ .

We first consider the case  $\lambda < 0$ . Since  $\log |x| \geq 0$  for  $|x| \geq 1$ ,

$$\begin{aligned} & - \frac{\lambda}{4\pi} \iint_{\mathbb{R}^{2+2}} \log |x-y| |u(x)|^2 |u(y)|^2 dx dy \\ & \geq - \frac{|\lambda|}{4\pi} \iint_{|x-y|<1} |\log |x-y|| |u(x)|^2 |u(y)|^2 dx dy \\ & \geq - \frac{|\lambda|}{4\pi} \|\log |x|\|_{L^2(|x|\leq 1)}^2 \|u\|_{L^4}^2 \|u\|_{L^2}^2 \end{aligned}$$

By the  $L^2$ -conservation and the Sobolev embedding, we have

$$(3.6) \quad \|\nabla u(t)\|_{L^2}^2 \leq 2E_0 + C \|\nabla u(t)\|_{L^2}.$$

Therefore, there exists a constant  $M$  independent of  $t$  such that  $\|\nabla u(t)\|_{L^2} \leq M$ .

We now suppose  $\lambda > 0$ . By Lemma 2.3, for any  $\varepsilon > 0$  there exists a constant  $C_0$  such that the following estimate holds:

$$\begin{aligned}
& \frac{\lambda}{4\pi} \iint_{\mathbb{R}^{2+2}} \log |x - y| |u(x)|^2 |u(y)|^2 dx dy \\
& \leq \frac{\lambda}{4\pi} \iint_{\mathbb{R}^{2+2}} \log \frac{|x - y|}{\langle x \rangle} |u(x)|^2 |u(y)|^2 dx dy + \frac{\lambda}{4\pi} \|u_0\|_{L^2}^2 \left\| \sqrt{\log \langle x \rangle} u \right\|_{L^2}^2 \\
& \leq \frac{\lambda}{4\pi} (C_0 \|u_0\|_{L^2}^2 + \varepsilon \|u\|_{L^4}^2) \left\| \sqrt{1 + \log \langle x \rangle} u \right\|_{L^2}^2 + \frac{\lambda}{4\pi} \|u_0\|_{L^2}^2 \left\| \sqrt{\log \langle x \rangle} u \right\|_{L^2}^2 \\
& \leq \frac{\lambda C_0}{4\pi} \|u_0\|_{L^2}^4 + \frac{\lambda(C_0 + 1)}{4\pi} \|u_0\|_{L^2}^2 \left\| \sqrt{\log \langle x \rangle} u \right\|_{L^2}^2 + C\varepsilon \|u_0\|_{L^2}^3 \|\nabla u\|_{L^2} \\
& \quad + C\varepsilon \|u_0\|_{L^2} \|\nabla u\|_{L^2} \left\| \sqrt{\log \langle x \rangle} u \right\|_{L^2}^2 \\
& \leq C_1 + C_2(\varepsilon + |t|) \sup_{s \in [0, t]} \|\nabla u(s)\|_{L^2} + C_3 \varepsilon |t| \sup_{s \in [0, t]} \|\nabla u(s)\|_{L^2}^2,
\end{aligned}$$

where  $C_i$  ( $i = 1, 2, 3$ ) depends only on  $\lambda$ ,  $C_0$ ,  $\|u_0\|_{\mathcal{H}}$ , and  $\varepsilon$ . Fix  $T > 0$ . Taking  $\varepsilon < (8C_3T)^{-1}$ , we deduce from the conservation of  $E(t)$  that

$$(3.7) \quad \left( \sup_{s \in [0, t]} \|\nabla u(s)\|_{L^2} \right)^2 \leq 4E(0) + 4C_1 + 4C_2(\varepsilon + 2T) \sup_{s \in [0, t]} \|\nabla u(s)\|_{L^2}$$

for  $0 \leq t \leq 2T$ . This implies that

$$\sup_{t \in [0, 2T]} \|\nabla u(t)\|_{L^2} \leq C(\|u_0\|_{\mathcal{H}}, T) < \infty.$$

Since  $T$  is arbitrary, we obtain the global existence.  $\square$

#### 4. REMARKS ON THE PROBLEM WITH POWER NONLINEARITY

We give a rough sketch of the proofs of Theorem 1.4 and 1.5 in this section.

*Proof of Theorem 1.4.* The local well-posedness part holds if  $p \geq 2$  as in the proof of Lemma 3.1. The restriction  $p \geq 2$  is required when we estimate

$$\begin{aligned}
|\nabla(|u_1|^{p-1}u_1 - |u_2|^{p-1}u_2)| & \leq C_p(|u_1|^{p-2} + |u_2|^{p-2})(|\nabla u_1| + |\nabla u_2|)|u_1 - u_2| \\
& \quad + C_p(|u_1|^{p-1} + |u_2|^{p-1})|\nabla(u_1 - u_2)|.
\end{aligned}$$

By exactly the same argument as in Lemma 3.2, the problem of global existence boils down to obtaining an a priori bound of  $\|\nabla u(t)\|_{L^2}$ . Recall that the conserved energy is

$$\begin{aligned}
E_p(t) & := \frac{1}{2} \|\nabla u(t)\|_{L^2}^2 - \frac{\lambda}{4\pi} \int_{\mathbb{R}^2} (\log |x - y|) |u(t, x)|^2 |u(t, y)|^2 dx dy \\
& \quad + \frac{\eta}{p+1} \|u(t)\|_{L^{p+1}}^{p+1}.
\end{aligned}$$

*The case  $\eta > 0$ .* We have

$$\|\nabla u(t)\|_{L^2}^2 \leq E_p(t) + \frac{\lambda}{4\pi} \int_{\mathbb{R}^2} (\log |x - y|) |u(t, x)|^2 |u(t, y)|^2 dx dy.$$

By the same argument as in the case  $\eta = 0$ , we prove global existence.

The case  $\eta < 0$  and  $\lambda < 0$ . Since

$$\frac{|\eta|}{p+1} \|u(t)\|_{L^{p+1}}^{p+1} \leq C_{\eta,p} \|u_0\|_{L^2}^2 \|\nabla u(t)\|_{L^2}^{p-1},$$

we obtain

$$\|\nabla u(t)\|_{L^2}^2 \leq 2E_0 + C \|\nabla u(t)\|_{L^2} + 2C_{\eta,p} \|u_0\|_{L^2}^2 \|\nabla u(t)\|_{L^2}^{p-1}$$

as in (3.6). Uniform bound of  $\|\nabla u(t)\|_{L^2}$  is then obtained either the case  $p < 3$  or the case  $p \geq 3$  and  $\|u_0\|_{L^2}$  is small.

The case  $\eta < 0$  and  $\lambda > 0$ . As in (3.7), for any  $T > 0$ , there exist  $\varepsilon$ ,  $C_1$ , and  $C_2$  such that

$$\begin{aligned} \left( \sup_{s \in [0,t]} \|\nabla u(s)\|_{L^2} \right)^2 &\leq 4E(0) + 4C_1 + 4C_2(\varepsilon + 2T) \sup_{s \in [0,t]} \|\nabla u(s)\|_{L^2} \\ &\quad + 4C_{\eta,p} \|u_0\|_{L^2}^2 \left( \sup_{s \in [0,t]} \|\nabla u(s)\|_{L^2} \right)^{p-1}. \end{aligned}$$

for  $t \leq 2T$ . Therefore, if  $p < 3$  or if  $p = 3$  and  $\|u_0\|_{L^2}$  is small, we obtain

$$\sup_{t \in [0,2T]} \|\nabla u(t)\|_{L^2} \leq C(\|u_0\|_{\mathcal{H}}, T) < \infty.$$

This concludes the proof of Theorem 1.4.  $\square$

*Proof of Theorem 1.5.* We denote  $L^p((-T, T); X) = L_T^p X$ . Our strategy for local well-posedness is to use the contraction argument in a complete metric space  $(\mathcal{H}_{T,M}^{1,2}, d)$ , where

$$\begin{aligned} \mathcal{H}_{T,M}^{1,2} &:= \{f \in C((-T, T); H^1); \|f\|_{\mathcal{H}_T^{1,2}} \leq M\}, \\ \|f\|_{\mathcal{H}_T^{1,2}} &:= \|f\|_{L_T^\infty \mathcal{H}} + \|f\|_{L_T^{q_0} W^{1,r_0}} + \|f \log \langle x \rangle\|_{L_T^{q_0} L^{r_0}} \end{aligned}$$

for an admissible pair  $(q_0, r_0)$  with  $r_0 > 2$ , and the metric  $d$  is given by

$$(4.1) \quad d(f, g) = \|f - g\|_{L_T^\infty L^2} + \|f - g\|_{L_T^{q_0} L^{r_0}}.$$

We shall show

$$\begin{aligned} Q[u](t, x) &:= (e^{itA} u_0)(x) \\ &\quad + \frac{i}{2\pi} \left( \int_0^t e^{i(t-s)A} \left( \int_{\mathbb{R}^2} \log \frac{|\cdot - y|}{\langle \cdot \rangle} |u(s, y)|^2 dy \right) u(s, \cdot) ds \right) (x) \\ &\quad - i\eta \left( \int_0^t e^{i(t-s)A} (|u|^{p-1} u)(s) ds \right) (x) \end{aligned}$$

is a contraction map in  $(\mathcal{H}_{T,M}^{1,2}, d)$ . Mimicking the proof of Lemma 3.1, one shows that for any  $M > 0$ , there exists  $T > 0$  such that  $Q : \mathcal{H}_{T,M}^{1,2} \rightarrow \mathcal{H}_{T,M}^{1,2}$ . To prove  $Q$  is a contraction with respect to the metric  $d$ , the following

estimate is crucial:

$$\begin{aligned}
& \left\| \left( \int_{\mathbb{R}^2} \log \frac{|x-y|}{\langle x \rangle} |u_1(y)|^2 dy \right) u_1 - \left( \int_{\mathbb{R}^2} \log \frac{|x-y|}{\langle x \rangle} |u_2(y)|^2 dy \right) u_2 \right\|_{L^2} \\
& \leq \left\| \left( \int_{\mathbb{R}^2} \log \frac{|x-y|}{\langle x \rangle} |u_1(y)|^2 dy \right) (u_1 - u_2) \right\|_{L^2} \\
& \quad + \left\| \left( \int_{\mathbb{R}^2} \log \frac{|x-y|}{\langle x \rangle} (|u_1(y)|^2 - |u_2(y)|^2) dy \right) u_2 \right\|_{L^2} \\
& \leq C(\|u_1\|_{L^2}^2 + \|\sqrt{\log \langle x \rangle} u_1\|_{L^2}^2)(\|u_1 - u_2\|_{L^2} + \|u_1 - u_2\|_{L^{r_0}}) \\
& \quad + C(\| |u_1|^2 - |u_2|^2 \|_{L^1} + \|(|u_1|^2 - |u_2|^2) \log \langle x \rangle \|_{L^1})(\|u_2\|_{L^2} + \|u_2\|_{L^{r_0}}) \\
& \leq C(\|u_1\|_{L^2}^2 + \|\sqrt{\log \langle x \rangle} u_1\|_{L^2}^2)(\|u_1 - u_2\|_{L^2} + \|u_1 - u_2\|_{L^{r_0}}) \\
& \quad + C(\|u_1\|_{L^2} + \|u_2\|_{L^2} + \|u_1 \log \langle x \rangle\|_{L^2} + \|u_2 \log \langle x \rangle\|_{L^2}) \\
& \quad \times (\|u_2\|_{L^2} + \|u_2\|_{L^{r_0}}) \|u_1 - u_2\|_{L^2}.
\end{aligned}$$

By the Strichartz estimate, letting  $T$  smaller if necessary, we hence obtain

$$d(Q[u_1], Q[u_2]) \leq \frac{1}{2} d(u_1, u_2)$$

for any  $u_1, u_2 \in \mathcal{H}_{T,M}^{1,2}$ .

A similar result as Lemma 3.2 holds since

$$\left| \frac{d}{dt} \|\log \langle x \rangle u(t)\|_{L^2}^2 \right| = \left| \operatorname{Im} \int \frac{2x \log \langle x \rangle}{1+x^2} \cdot \nabla u(t) \overline{u(t)} dx \right| \leq C \|\nabla u(t)\|_{L^2}.$$

Now, we have a priori bound of  $\|\nabla u(t)\|_{L^2}$  as in the case  $2 \leq p < 3$  of Theorem 1.4, which proves the global well-posedness.  $\square$

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